



ATTORNEY DOCKET No.: 2003390-0013 (Robic 004807-0012)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

MAUCHER et al.

Examiner:

NYA

Serial No:

10/542,113

Group Art Unit:

NYA

Filing Date:

July 13, 2005

Title:

METHODS AND COMPOSITIONS FOR PRODUCING MALE STERILE

PLANTS

Certificate of Mailing

Commissioner for Patents

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2006

Date

Typed or Printed Name of person signing

TRANSMITTAL LETTER

Enclosed for filing in the above-referenced patent application please find the following documents:

- 1. Statement Filed Pursuant to the Duty of Disclosure Under 37 CFR §§1.56, 1.97 and 1.98 (6 pages);
- Form PTO-1449 (2 pages); 2.
- 3. Cited Art (16 References); and
- Return-Receipt Postcard.

Respectfully submitted,

CHOATE, HALL & STEWART LLP

Dated: January 7, 2006

Charles E. Lyoh, D.Phil.

Registration Number 56,630

PATENT DEPARTMENT CHOATE, HALL & STEWART LLP Two International Place Boston, Massachusetts 02110

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Page 1 of 6

Attorney Docket:2003390-0013 Client Reference: Florisys/004807/0012

U.S.S.N. 10/542,113 4033257_1.DOC



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P.O. Box 1450

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January 17, 2006 Date

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STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants request consideration of this Information Disclosure Statement.

Type of Statement

The present Information Disclosure Statement is:

- [X] An original Information Disclosure Statement; or
- [] A supplemental Information Disclosure Statement.

Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

- [X] Pursuant to 37 CFR § 1.97(b); no fee or certification is required:
 - [] Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

	[] W	ithin thr	ee months of the date of entry of the national stage as set forth		
		in § 1.	491 in an international application;		
	[X]	Before	e the mailing of a first Office action on the merits; or		
	[]	Before	e the mailing of a first Office action after the filing of a request for		
		contin	ued examination under § 1.114.		
[]	Pursu	ant to 37	7 CFR § 1.97(c) after the dates listed above but before the mailing		
	date o	f any of	a final action under § 1.113, a notice of allowance under § 1.311, or		
	an act	ion that	t otherwise closes prosecution in the application; Applicant hereby		
	either	:			
	[]	Certif	ies that either:		
		[]	each item of information contained in the information disclosure		
			statement was first cited in any communication from a foreign		
			patent office in a counterpart foreign application not more than		
			three months prior to the filing of the information disclosure		
			statement; or		
		[]	That no item of information contained in the information		
			disclosure statement was cited in a communication from a foreign		
			patent office in a counterpart foreign application, and, to the		
			knowledge of the person signing the certification after making		
			reasonable inquiry, no item of information contained in the		
			information disclosure statement was known to any individual		
			designated in § 1.56(c) more than three months prior to the filing of		
			the information disclosure statement.; or		

	[]	Includ	es herewith the fee set forth in § 1.17(p).
[]	Pursua	ant to 37	7 CFR § 1.97(d), after the mailing date of any final action under
	§ 1.11	3, a noti	ce of allowance under § 1.311, or an action that otherwise closes
	prosec	ution in	the application; Applicant hereby both:
	[]	Certifi	es that either:
		[]	each item of information contained in the information disclosure
			statement was first cited in any communication from a foreign
			patent office in a counterpart foreign application not more than
			three months prior to the filing of the information disclosure
			statement; or
		[]	That no item of information contained in the information
			disclosure statement was cited in a communication from a foreign
			patent office in a counterpart foreign application, and, to the
			knowledge of the person signing the certification after making
			reasonable inquiry, no item of information contained in the
			information disclosure statement was known to any individual
			designated in § 1.56(c) more than three months prior to the filing of
			the information disclosure statement.; and
	[]	Includ	les herewith the fee set forth in § 1.17(p).

Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Applicant includes copies of references as indicated below:

- [X] A copy of each cited reference not indicated with a * is included, except for those for which the requirement is waived pursuant to USPTO OG Notice of 8/5/03;
- Copies of references indicated with an asterisk on the attached form PTO-1449 are not included pursuant to 37 CFR § 1.98(d) because they were previously provided to the United States Patent Office in an Information Disclosure Statement that complies with 37 CFR § 1.98(a)-(c) and was submitted in the following patent application that is relied upon in the present case for an earlier effective filing date under 35 USC § 120:

Serial Number	Filing Date	Status

[] Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the aboveidentified application:

Applicant certifies that the Information Disclosure Statement either:

[] Does not contain non-English language citations;

[X] Does contain non-English language citations, of which the following is a concise

explanation: English language Abstract included with patent copy.

[] Includes one or more translations of a non-English citation.

Remarks

The submission of this Information Disclosure Statement should not be construed as a

representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an

admission that the information cited in the statement is, or is considered to be, material to

patentability as defined in § 1.56(b).

The submission of this Information Disclosure Statement shall not be construed as a

representation that the information cited in the Statement is, or is considered to be, in fact, prior

art as defined by 35 U.S.C. §102.

It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other

information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the

cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark

Office during the examination of this application; and

3. The citations for the patent(s) and publication(s) be printed on any patent which

issues from this application.

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Attorney Docket:2003390-0013 Client Reference: Florisys/004807/0012

U.S.S.N. 10/542,113 3790048v1 Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Respectfully submitted, CHOATE, HALL & STEWART LLP

Dated: January it, 2006

Charles E. Lyon, D.Phil. Registration Number 56,630

PATENT DEPARTMENT CHOATE, HALL & STEWART LLP Two International Place Boston, Massachusetts 02110

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PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute	for form 1449/PTO			Complete if Known				
Substitute	10110111111443/F10			Application Number	10/542,113			
INEC	RMATION	DISC	CLOSURE	Filing Date	July 13 th , 2005			
				First Named Inventor	MAUCHER, Helmut			
SIA	TEMENT B		 -	Art Unit	Not yet assigned			
	(Use as many s	heets as	necessary)	Examiner Name	Not yet assigned			
Sheet	1	of	2	Attorney Docket Number	004807-0012			

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. 1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US-5814581	09-29-1998	HIRAKAWA, Shin-Ichi et al.			
		US-5935809	08-10-1999	CLARENCE A, Ryan Jr.			
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	FOREIGN PATENT DOCUMENTS								
Examiner Cite No. 1		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			or research against Appeal	T ⁶			
		WO 97/10703 A	03-27-1997	BROWSE, John et al.					
		WO 01/02589 A	01-11-2001	VARIN, Luc et al.					
		JP 2-92220	04-03-1990	TAZAKI, Hiroyuki					

Examiner	Date	
Signature	Conside	red

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute	for form 1449/PTO			Application Number	10/542,113	
	RMATION			Filing Date	July 13 th , 2005	
STAT	LEWENT B.	Y AP	PLICANT	First Named Inventor	MAUCHER, Helmut	
İ	(Use as many shee	ts as nec	essary)	Art Unit	Not yet assigned	
i	(00000000000000000000000000000000000000			Examiner Name	Not yet assigned	
Sheet 2 of 2		Attorney Docket Number	004807-0012			

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	т		
Initials*					
		PARK, JOON-HYUN ET AL., The Plant Journal, vol. 31, no.1, July 2002 (2002-07), pages 1-12	Г		
		ZHAO, DAZHONG ET AL., Current Biology, vol. 10, no 24, 2000, pages R904-R907			
		GIDDA, KAUR, SATINDER ET AL., The Journal of Biological Chemistry, vol. 278, no 20, May 16 th , 2003, pages 17895-17900	Γ		
		WASTERNACK AND HAUSE, (2002) "Prog Nucleic Acid Res Mol Biol", 72:165-221			
		FEYS ET AL., (1994) "Plant Cell", 6:751-759 .	Г		
		LI ET AL., (2001) "Plant Physiol", 127 :1414-1417			
		MIERSCH ET AL., (1998) "Phytochemistry", 47:327-329			
		YOSHIHARA ET AL., (1989) "Agric., Biol., Chem.", 53:2835-2837			
		MATSUKI ET AL., (1992), "Biosci, Biotech, Biochem", 56:1329			
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		HORSCH, R.B. ET AL., (1984) Science, Vol. 227, 1229-1231			
		SAMBROOK ET AL., (1989) <i>Molecular Cloning: A Laboratory Manual</i> , 2nd edn. Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY.			
		MANIATIS ET AL., (1982). Molecular Cloning, A Laboratory Manual. Cold Spring Harbor Laboratory, Cold Spring Harbor, New York, pp. 387-389			

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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